

25 February 2011

ACCESS TO THE REGISTER OF MEMBERS OF AWI

Further to amendments to the *Corporations Act* 2001 (Cth) which came into force on 13 December 2010, the AWI policy and procedure for third party access to information contained in its register of members is as follows.

In the event this policy and procedure is not followed by an applicant, the Company may refuse to provide the information requested.

1. All requests for access to the register of members must be addressed to:

**The Company Secretary
Australian Wool Innovation Limited
Level 30, 580 George Street
Sydney NSW 2000**

2. Any request for access to information contained in the register of members must contain the following information, and must bear the signature of the applicant:
 - Applicant's full name
 - Applicant's address, telephone contact details and email address (if applicable)
 - Each purpose for which the applicant is seeking access to the register of members (also refer to point 5. below)
 - The number of member records requested (for example, "Top 2,000 members")
 - A declaration in the form set out below:

"I/we declare that:

1. The purpose/s set out above are the only purpose/s for which I/we am seeking access to the register of members
2. I/we will not use information I obtain through this request process:
 - a. for a purpose other than the purposes disclosed above; or
 - b. in any manner which is unlawful
3. I/we will destroy all information I obtain through this request process as soon as the purpose/s for which the information was requested has expired
4. I/we will not provide any information I/we obtain through this request process to any other third party"

3. In accordance with the *Corporations Regulations 2001*, Schedule 4, the fees payable for access to information contained in the register of members are to be calculated as follows:

Number of member details requested	0 – 5,000	5,001 – 20,000	20,001 +
Fee payable	\$250.00	\$250.00 + an additional \$0.05 per member in excess of 5,000	\$250.00 + \$750.00 + an additional \$0.01 per member in excess of 20,000

4. A cheque in payment of the applicable fee (calculated in accordance with point 3. above), made payable to “Australian Wool Innovation Limited”, must accompany a request for access to information contained in the register of members.
5. Each purpose for which access to information contained in the register of members is sought must pass the **proper purpose test**. The Company Secretary will assess whether the purpose/s set out in an application for access to the register is a “proper purpose”, and may require an applicant to provide further information to assist in this assessment. Note it is an offence to make a misleading statement when requesting access to a member register.

As a guide to applicants, the *Corporations Regulations 2001*, Reg 2C.1.03 prescribes that the following purposes are improper purposes:

- Soliciting a donation from a member of a company
 - Soliciting a member of a company by a person who is authorised to assume or use the word stockbroker or sharebroker in accordance with section 923B of the *Corporations Act 2001* (Cth)
 - Gathering information about the personal wealth of a member of a company
 - Making an offer or invitation to purchase financial products off-market
6. If the Company Secretary is satisfied that an application has been made for a proper purpose and all other parts of this policy and procedures document have been complied with, the Company Secretary will request the Company’s share registry to provide an extract of the register of members as requested. Extracts will be provided in electronic .csv format, posted by the Company Secretary to the applicant at the address (on disk), or sent to the email address (if applicable), supplied on the request for access. The extract will be provided within 7 days of receipt from the applicant of the fees payable under point 3. above.
7. The Company’s share registry will provide all member names and contact details in alphabetical order so as not to disclose any information regarding the size of the shareholding of any member of the Company.

8. Any person who gains access to information contained in the register of members of the Company through this policy and procedure:
 - a. must destroy all such information as soon as the purpose for which the information was requested has expired; and
 - b. must not provide any information so gained to any other third party; and
 - c. must not use any information so obtained in any manner which is unlawful.